The alkylation studies of \( \beta \)-propiolactone (BPL), \( \beta \)-butyrolactone (BBL), \( \gamma \)-butyrolactone, and \( \delta \)-valerolactone, which can be formed by the in vivo nitrosation of primary amino acids, were investigated kinetically. The nucleophile NBP, 4-(\( p \)-nitrobenzyl)pyridine, a trap for alkylation agents, was used as an alkylation substrate. The alkylation reactions were performed under mimic conditions at neutral pH in water/dioxane solvent mixtures. To gain insight into the effect of the hydrolysis of lactones on their alkylation efficiency, alkylation and competing hydrolysis were studied in parallel. Conclusions were drawn as follows: (i) \( \gamma \)-Butyrolactone and \( \delta \)-valerolactone afford neither appreciable NBP alkylation nor hydrolysis reactions; (ii) the alkylation potential of BPL is 10-fold higher than that of BBL, the reactivity of both being essentially enthalpy-controlled; (iii) a correlation was found between the alkylation potential of lactones and their carcinogenicity; (iv) the hydrolysis of lactones is not sufficiently effective to prevent alkylation; (v) the efficiency of alkylation, expressed as the alkylation rate/hydrolysis rate ratio, decreases strongly with increasing amounts of dioxane in the reaction media; (vi) the absorption coefficients of the NBP–lactone adducts are as follows: \( \epsilon_{\text{NBP–BPL}} = 5101 \pm 111 \, \text{M}^{-1} \, \text{cm}^{-1} \) (\( \lambda = 584 \, \text{nm} \)) and \( \epsilon_{\text{NBP–BBL}} = 462 \pm 19 \, \text{M}^{-1} \, \text{cm}^{-1} \) (\( \lambda = 586 \, \text{nm} \)), the pronounced difference between these values being rationalized in terms of the adducts’ structure; and (vii) linear correlations exist between the adducts’ absorption coefficients and the water/dioxane ratio in the reaction media.

**Introduction**

In previous work (1–3), it was shown that the species resulting from the nitrosation of primary amino acids are the corresponding lactones. Some lactones give alkylation reactions with any of a number of nucleophilic sites in tissues, and because alkylation agents are considered archetypal carcinogens (4), considerable efforts have been devoted to addressing the chemical carcinogenesis caused by these species (5).

While carcinogenesis elicited by lactones has long been known, to our knowledge, the alkylation potential of these species has not been investigated in quantitative chemical terms and even less so when concurrent with other reaction pathways, such as hydrolysis, which should diminish the efficiency of lactones as alkylation agents.

In the present work, we performed a kinetic study of the alkylation potential of \( \beta \)-propiolactone (BPL), \( \beta \)-butyrolactone (BBL), \( \gamma \)-butyrolactone (GBL), and \( \delta \)-valerolactone (DVL) (Figure 1).

The nucleophile NBP, 4-(\( p \)-nitrobenzyl)pyridine, a trap for alkylation agents (6) with nucleophilic characteristics similar to DNA bases (7), was used as the alkylation substrate. To gain quantitative knowledge about the effect of the hydrolysis of lactones on their alkylation capacity, both competing reactions—hydrolysis and alkylation—were studied in parallel. Previous work on the hydrolysis of lactones had been carried out in neutral and acid media (8).

Hydrolysis and alkylation reactions were investigated under mimicked cellular conditions (7, 9) at neutral pH in water/dioxane solvent mixtures to accommodate NBP. The reactions were performed at various temperatures between 25 and 35 °C.

**Experimental Procedures**

*Caution:* Because BPL and BBL are possibly carcinogenic for humans (4, 5), they should be handled carefully.

The hydrolysis of lactones (performed in 7:3 water/dioxane media; see below) was monitored by titration of the resulting hydroxy acids (Scheme 1). The concentration of hydroxy acid was determined by titration with NaOH, this latter being titrated with potassium hydrogen phthalate. In the titration procedure, 1 mL aliquots of the reacting mixture (lactone and unbuffered \( \text{CO}_2 \)-free water) were removed from time to time, added to 9 mL of ice water, and titrated immediately to a bromthymol blue end point (the hydroxy acid \( \text{pK}_a \) values are in the 4.5–4.7 range (10)). Detailed reaction conditions are given in the figure and table legends.

To monitor the alkylation reactions, 2.4 mL aliquots of the alkylation mixture (lactone + NBP) were removed at different times and added to a cuvette containing 0.6 mL of 99%
triethylamine reagent (Et₃N) to stop the alkylation process (Scheme 2), measuring absorbance at the wavelength of maximum absorption. To render NBP soluble, the lactone + NBP alkylation mixtures were prepared in 7:3 (vol) water/dioxane medium. Detailed reaction conditions are given in the figure and table legends.

A Shimadzu UV-2101-PC spectrophotometer with a thermoelectric six cell holder temperature control system (±0.1 °C) was used. The reaction temperature was kept constant (±0.05 °C) with a Lauda Ecoline RE120 thermostat. All kinetic runs were performed in triplicate.

BPL, BBL, and GBL were obtained from Sigma, while DVL was a Fluka product. NBP was from Sigma; 99% Et₃N was obtained from Aldrich, and dioxane was purchased from Panreac.

Numerical treatment of the data was performed using the 7.1.44 Data Fit software. Geometry optimization of the NBP–lactone adducts was carried out with the Chem3D Ultra Molecular Modeling and Analysis software, version 8.0.

**Results and Discussion**

**Hydrolysis Reactions.** We investigated the hydrolysis of BPL, BBL, GBL, and DVL in 7:3 (vol) water/dioxane medium (Scheme 1). No hydrolysis of GBL or DVL was observed. This result is consistent with our previous results reporting that these two lactones require acid media for their hydrolysis to occur (8).

Regarding BPL and BBL, experiments performed to determine the influence of the lactone concentration revealed the reactions to be first-order with respect to this reagent:

\[
\frac{d[HA]}{dt} = k[H₂O][L] = k₁[L]
\]

where [L] represents the concentration of lactone, [HA] represents the concentration of the hydroxy acid formed, and \(k₁ = k[H₂O]\) is the pseudo-first-order rate constant (in the working reaction medium \([H₂O] = 38.9 \text{ M}\) was used).

Figure 2 shows the integrated form of eq 1 in terms of the concentration of lactone at time \(t\), \([L]_o\), and its initial concentration \([L]_o\).

**Table 1. Hydrolysis Rate Constants as a Function of Temperature for BPL and BBL in 7:3 Water/Dioxane Medium**

<table>
<thead>
<tr>
<th>(T) (°C)</th>
<th>(10^5 k) (BPL)</th>
<th>(10^5 k) (BBL)</th>
</tr>
</thead>
<tbody>
<tr>
<td>17.5</td>
<td>2.17 ± 0.06</td>
<td>0.594 ± 0.002</td>
</tr>
<tr>
<td>20.0</td>
<td>2.97 ± 0.05</td>
<td>0.806 ± 0.007</td>
</tr>
<tr>
<td>22.5</td>
<td>3.85 ± 0.06</td>
<td>1.08 ± 0.01</td>
</tr>
<tr>
<td>25.0</td>
<td>4.88 ± 0.05</td>
<td>1.385 ± 0.007</td>
</tr>
<tr>
<td>27.5</td>
<td>6.64 ± 0.04</td>
<td>1.66 ± 0.02</td>
</tr>
<tr>
<td>30.0</td>
<td>8.7 ± 0.1</td>
<td>2.47 ± 0.02</td>
</tr>
<tr>
<td>32.5</td>
<td>11.4 ± 0.4</td>
<td>1.08 ± 0.02</td>
</tr>
<tr>
<td>35.0</td>
<td>15.2 ± 0.4</td>
<td>1.385 ± 0.007</td>
</tr>
</tbody>
</table>

a Values are given with their standard deviations.

**Table 2. Activation Parameters for the Hydrolysis of BPL and BBL in 7:3 Water/Dioxane Medium**

<table>
<thead>
<tr>
<th>lactone</th>
<th>(\Delta H^{\text{eq}}) (kJ mol⁻¹)</th>
<th>(-\Delta S^{\text{eq}}) (J K⁻¹ mol⁻¹)</th>
<th>(\Delta G^{\text{eq}}) (35 °C) (kJ mol⁻¹)</th>
</tr>
</thead>
<tbody>
<tr>
<td>BPL</td>
<td>79 ± 1</td>
<td>95 ± 3</td>
<td>108 ± 1</td>
</tr>
<tr>
<td>BBL</td>
<td>83 ± 1</td>
<td>98 ± 3</td>
<td>113 ± 1</td>
</tr>
</tbody>
</table>

* Values are given with their standard deviations.

Table 1 gives the values of \(k\) (BPL) and \(k\) (BBL) as \(k\) in eq 1 for the hydrolysis reactions as a function of temperature (\(T\)). As can be observed, the hydrolysis rate constant of BPL is about 6-fold greater than that of BBL.

**Figure 2.** Integrated form of the pseudo-first-order rate equation (eq 1) for the hydrolysis of BPL (●) and BBL (■) in 7:3 water/dioxane medium. \([L]_o = 0.08 \text{ M}; T = 25 \, ^{\circ}\text{C}\).

**Figure 3.** Eyring plots for the hydrolysis of BPL (●) and BBL (■) in 7:3 water/dioxane medium. \([BPL]_o = 0.08 \text{ M}; [BBL]_o = 0.08 \text{ M}\).

**Alkylation Reactions.** No alkylation by either GBL or DVL was observed after 2 weeks. This lack of re-
activity is in agreement with their loss of ring strain (Figure 1).

The blue-colored adducts NBP–BPL and NBP–BBL showed maximum absorption at \( \lambda = 584 \) nm and \( \lambda = 586 \) nm, respectively. As an example, Figure 4 shows the increase in absorption caused by the formation of the NBP–BPL adduct along time, until no change in absorbance A was observed (because lactone was in large excess, it may be assumed that all the NBP was consumed).

Figure 5 represents typical kinetic runs for the alkylation of NBP by BPL and BBL. As can be observed, the \( A_t \) values (y-axis) in the case of the NBP–BPL adduct are about 10-fold greater than for the NBP–BBL adduct. This must be due to the different values of the respective absorption coefficients (see below).

By designating the fraction of lactone converted into adduct (AD) in the alkylation reaction as \( x \) and that disappeared in the hydrolysis reaction as \( y \) (Scheme 3), one has eqs 2 and 3 (12).

\[
\frac{dx}{dt} = \frac{d[AD]}{dt} = k_{\text{alk}} ([NBP]_0 - x) ([L]_0 - x - y) \quad (2)
\]

\[
\frac{dy}{dt} = k_1 ([L]_0 - x - y) \quad (3)
\]

The combination of eqs 2 and 3 yields

\[
\frac{d[AD]}{dt} = k_{\text{alk}} ([NBP]_0 - [AD]) ([L]_0 - [AD]) - k_1 ([NBP]_0 - [AD]) \ln \frac{[NBP]_0}{[NBP]_0 - [AD]} \quad (4)
\]

Integration of eq 4 and substitution of [AD] by the absorbance, \( A = [AD]/\epsilon \), \( \epsilon \) being the molar absorption coefficient of the adducts, yields eq 5

\[
\ln \frac{A_x}{A_x - A_t} = \frac{k_{\text{alk}} [L]_0}{k_1} (1 - e^{-kt}) \quad (5)
\]

where \( A_x \) is the absorbance of the adducts when the plateau is reached (Figure 5).

Because the \( k_1 \) values were measured in the first part of this work, plotting \( \ln[A_t/A_x] - A_t \) values against those of \((1 - e^{-kt})\) should give a straight line, from whose slope it is possible to calculate the value of \( k_{\text{alk}} \). Figure 6 shows the excellent fit of the results to eq 5, with the intercept not significantly different from zero. Table 3 shows the \( k_{\text{alk}} \) values obtained at different temperatures.

The results in Table 3 show that the alkylating potential (expressed as the alkylating rate constant \( k_{\text{alk}} \)) of BPL is about 10-fold higher than that of BBL.
A kinetic study of alkylation and competing hydrolysis by BPL and BBL was also performed at several water/dioxane ratios. Table 6 shows the results.

Table 6. Activation Parameters for NBP Alkylation by BPL and BBL in 7:3 Water/Dioxane Medium

<table>
<thead>
<tr>
<th>lactone</th>
<th>$\Delta H^{\text{a}}$ (kJ mol$^{-1}$)</th>
<th>$-\Delta S^{\text{a}}$ (J K$^{-1}$ mol$^{-1}$)</th>
<th>$\Delta G^{\text{a}}$ (35 °C) (kJ mol$^{-1}$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>BPL</td>
<td>41 ± 2</td>
<td>148 ± 6</td>
<td>87 ± 2</td>
</tr>
<tr>
<td>BBL</td>
<td>47 ± 2</td>
<td>149 ± 6</td>
<td>93 ± 2</td>
</tr>
</tbody>
</table>

$^a$ Values are given with their standard deviations.

Figure 7 shows the good fit of the alkylation rate constants to the Eyring equation. Table 4 shows the values of the activation parameters.

The values of the activation parameters shown in Table 4, as well as those reported in Table 2 referring to the hydrolysis reactions, clearly demonstrate that the reactivity of these lactones is essentially enthalpy-controlled. The higher $\Delta H^\#$ value obtained for alkylation by BBL must be caused, as in the case of its hydrolysis, by the methyl group as a donor of charge on the $\beta$-carbon, with a decrease in its electrophilic character (Scheme 1). Nevertheless, it should be noted that since, in general, substitution on carbon atoms $\sim 2$ or $\sim 3$ in lactones weakens their carcinogenic activity ($4, 13$), the steric hindrance of the BBL methyl group must also contribute to the higher value of $\Delta H^\#$. The same $\Delta S^\#$ values found for both lactones (Table 4) are consistent with their analogous geometry.

Because BPL and BBL are possibly carcinogenic for humans ($4, 5$), we wondered about the effect of their transformation into the respective hydroxy acids by hydrolysis on their effectiveness as alkylating agents. Figure 8 shows the comparative yields of hydrolysis and alkylation reactions over time.

These results allow one to assume that in the formation of lactones by nitrosation of primary amino acids ($1-3$), the transformation of lactones into the corresponding hydroxy acid is not sufficiently effective to prevent alkylation if it should be noted that since hydrolysis of BPL and BBL occurs through alkyl cleavage, the hydrolysis rate constants are practically invariable in the $0 < \text{pH} < 9$ range ($14-16$).

The kinetic results obtained are consistent with the biological activity of these lactones (Table 5): sufficient evidence in experimental animals for the carcinogenicity of BPL and BBL and evidence suggesting a lack of carcinogenicity for GBL ($5$). The results also suggest that the NBP test is a simple and reliable primary assay for the evaluation of carcinogenic potential.

A kinetic study of alkylation and competing hydrolysis by BPL and BBL was also performed at several water/dioxane ratios. Table 6 shows the results.

Figure 8. Hydrolysis of lactones (a) and NBP alkylation reactions (b) for (a) BPL and (b) BBL in 7:3 water/dioxane medium. Hydrolysis: [BPL]$_o$ = [BBL]$_o$ = 0.08 M; $T = 32.5$ °C; %reaction = 100 × [L]/[L]$_o$. Alkylation: [BPL]$_o$ = 4.3 × 10$^{-2}$ M; [BBL]$_o$ = 6.2 × 10$^{-2}$ M; [NBP]$_o$ = 2 × 10$^{-4}$ M; $T = 32.5$ °C; %reaction = 100 × [NBP]/[NBP]$_o$.

As may be seen, the efficiency of alkylation expressed as the alkylation rate/hydrolysis rate ratio ($k_{\text{al}}/k$) clearly decreases when the amount of dioxane in the reaction medium increases. A possible explanation for this is that the organic solvent molecules would stabilize the lactones’ ground state more than water, resulting in lower activities of BPL and BBL as alkylating agents.

This result may be useful when working with hydrophilic/lipophilic media, such as in food science. For instance, the results may be significant in cases of the presence in the human stomach of mixtures of alcoholic spirits and food containing vegetable oils, such as salads, or food coming from the preserves industry. When the water/organic component ratio decreases, a slowing down of the efficiency of the alkylation reactions would be expected.

Molar Absorption Coefficients of NBP–Lactone Adducts. We were also interested in knowing the molar absorption coefficients of the NBP–BPL and NBP–BBL adducts. Knowledge of these values should permit easy determination of the concentration of the adducts by simply measuring the absorbance.

Five experiments were performed using [NBP] = 2 × 10$^{-4}$ M and lactone concentrations in the 0.01–0.04 M range for BPL and 0.01–0.06 M for BBL. When absorbance reached a plateau (see Figure 5), we assumed that the reaction of NBP with the alkylating agent had reached 100%. The mean values obtained at 32.5 °C were
The more intense lack of coplanarity in the NBP–BBL adduct disrupting the π-electron cloud to interlink the two phenyl rings would lead to a smaller ε value, as was observed.

To gain information about the values of the molar absorption coefficients in media of different compositions, measurements were made working with several water/dioxane ratios. Figure 10 shows the results. It should be noted that increasing the water concentration caused a bathochromic effect in the visible spectra of the adducts, as generally occurs for π → π* transitions in aromatic chromophores (17).

![Figure 9](image)

**Figure 9.** Lack of coplanarity in the NBP–BPL and NBP–BBL adducts.

ε<sub>NBP–BPL</sub> = 5101 ± 111 M<sup>-1</sup> cm<sup>-1</sup> (λ = 584 nm) and ε<sub>NBP–BBL</sub> = 462 ± 19 M<sup>-1</sup> cm<sup>-1</sup> (λ = 586 nm).

As may be seen, the absorption coefficient of the NBP–BPL adduct is 11-fold greater than that of NBP–BBL. To rationalize this result, the structures of both adducts were obtained by a geometry optimization. This analysis revealed the existence of a different lack of coplanarity of the two NBP–phenyl rings (Figure 9). While in the case of the BPL adduct the dihedral angle was about 35°, in the NBP–BBL adduct, the torsion angle was estimated to be 70°. The more intense lack of coplanarity in the NBP–BBL adduct disrupting the π-electron cloud to interlink the two phenyl rings would lead to a smaller ε value, as was observed.

To gain information about the values of the molar absorption coefficients in media of different compositions, measurements were made working with several water/dioxane ratios. Figure 10 shows the results. It should be noted that increasing the water concentration caused a bathochromic effect in the visible spectra of the adducts.

### Table 5. Alkylating Potential of BPL and BBL and Their Tumorigenicity/Carcinogenicity

<table>
<thead>
<tr>
<th>lactone</th>
<th>alkylating potential (this work; Table 3)</th>
<th>subcutaneous injection in mice&lt;sup&gt;b&lt;/sup&gt;</th>
<th>subcutaneous injection in rats&lt;sup&gt;c&lt;/sup&gt;</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>10 × k&lt;sub&gt;alk&lt;/sub&gt;&lt;sup&gt;a&lt;/sup&gt; (M&lt;sup&gt;-1&lt;/sup&gt; min&lt;sup&gt;-1&lt;/sup&gt;)</td>
<td>no. of malignant tumors at site/no. of animals</td>
<td>dose (mg)</td>
</tr>
<tr>
<td>BPL</td>
<td>8.2 ± 0.3</td>
<td>0.73</td>
<td>18/30</td>
</tr>
<tr>
<td>BBL</td>
<td>0.78 ± 0.04</td>
<td>10</td>
<td>15/30</td>
</tr>
<tr>
<td>GBL</td>
<td>no reaction</td>
<td>not classifiable as to its carcinogenicity to humans (group 3) (5)</td>
<td></td>
</tr>
<tr>
<td>DVL</td>
<td>no reaction</td>
<td>no data on carcinogenicity available</td>
<td></td>
</tr>
</tbody>
</table>

<sup>a</sup> T = 35 °C. <sup>b</sup>Tricaprylin (0.05 mL) as vehicle. <sup>c</sup>Tricaprylin (0.1 mL) as vehicle.

### Table 6. Relative Efficiency of Alkylation by Lactones When Compared to Their Hydrolysis at Different Water/Dioxane Ratios<sup>a</sup>

<table>
<thead>
<tr>
<th>water/dioxane (vol. ratio)</th>
<th>10 × k&lt;sub&gt;alk&lt;/sub&gt;&lt;sup&gt;b&lt;/sup&gt; (M&lt;sup&gt;-1&lt;/sup&gt; min&lt;sup&gt;-1&lt;/sup&gt;)</th>
<th>10 × k&lt;sub&gt;alk&lt;/sub&gt;&lt;sup&gt;bc&lt;/sup&gt; (M&lt;sup&gt;-1&lt;/sup&gt; min&lt;sup&gt;-1&lt;/sup&gt;)</th>
<th>10 × k&lt;sub&gt;alk&lt;/sub&gt;&lt;sup&gt;b&lt;/sup&gt; (M&lt;sup&gt;-1&lt;/sup&gt; min&lt;sup&gt;-1&lt;/sup&gt;)</th>
<th>10 × k&lt;sub&gt;alk&lt;/sub&gt;&lt;sup&gt;bc&lt;/sup&gt; (M&lt;sup&gt;-1&lt;/sup&gt; min&lt;sup&gt;-1&lt;/sup&gt;)</th>
</tr>
</thead>
<tbody>
<tr>
<td>7/3</td>
<td>5.2 ± 0.2</td>
<td>7843</td>
<td>0.48 ± 0.02</td>
<td>4444</td>
</tr>
<tr>
<td>6/4</td>
<td>3.9 ± 0.2</td>
<td>7677</td>
<td>0.17 ± 0.01</td>
<td>2396</td>
</tr>
<tr>
<td>5/6</td>
<td>3.0 ± 0.1</td>
<td>7126</td>
<td>0.08 ± 0.01</td>
<td>1765</td>
</tr>
<tr>
<td>4/6</td>
<td>1.6 ± 0.1</td>
<td>5926</td>
<td>0.03 ± 0.01</td>
<td>967</td>
</tr>
<tr>
<td>2/8</td>
<td>0.150 ± 0.003</td>
<td>1500</td>
<td>0.0060 ± 0.0004</td>
<td>735</td>
</tr>
</tbody>
</table>

<sup>a</sup>[BPL]<sub>0</sub> = 4.3 × 10<sup>-2</sup> M; [BBL]<sub>0</sub> = 6.2 × 10<sup>-2</sup> M; T = 27.5 °C. <sup>b</sup>As k<sub>alk</sub> in eq 2. <sup>c</sup>As k in eq 1. <sup>d</sup>Values of rate constants are given within the 95% confidence interval. <sup>e</sup>Values from ref 8.

![Figure 10](image)

**Figure 10.** Variation in the molar absorption coefficients of the adducts in different water/dioxane media. NBP–BPL (▲); NBP–BBL (■). [BPL]<sub>0</sub> = 4.3 × 10<sup>-2</sup> M; [BBL]<sub>0</sub> = 6.2 × 10<sup>-2</sup> M; [NBPl]<sub>0</sub> = 2 × 10<sup>-4</sup> M; T = 27.5 °C.

### Conclusions

(i) GBL and DVL afford neither appreciable NBP alkylation nor hydrolysis reactions; (ii) the alkylating potential of BPL is 10-fold higher than that of BBL, and the reactivities of both are essentially enthalpy-controlled; (iii) a correlation was found between the alkylating potential of lactones and their carcinogenicity; (iv) the hydrolysis of lactones is not sufficiently effective to prevent alkylation; (v) the efficiency of alkylation, expressed as the alkylation rate/hydrolysis rate ratio, decreases strongly with increasing amounts of dioxane in the reaction medium; (vi) the absorption coefficients of the NBP–lactone adducts are ε<sub>NBP–BPL</sub> = 5101 ± 111 M<sup>-1</sup> cm<sup>-1</sup> (λ = 584 nm) and ε<sub>NBP–BBL</sub> = 462 ± 19 M<sup>-1</sup> cm<sup>-1</sup> (λ = 586 nm), the pronounced difference between these values being rationalized in terms of the adducts’ structure; and (vii) linear correlations exist between the adducts’ absorption coefficients and the water/dioxane ratio in the reaction medium.

### Acknowledgment

We thank the Spanish Ministerios de Ciencia y Tecnología (Project BUQ2001-1934) and Educación y Ciencia (Project CTQ2004-05048/BQU), as well as the Spanish Junta de Castilla y León (Grant SA003/02) for supporting the research reported in this article. M.T.P.P. and J.A.M. also thank the Ministerio de Ciencia y Tecnología and the Junta de Castilla y León for Ph.D. grants. Thanks are also given for the valuable comments made by the referees.

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